

Atty. Dkt. No. 065691-0216

HE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Jean-Claude CHERMANN et al.

Title:

VACCINE AGAINST INFECTIOUS AGENTS HAVING AN

INTRACELLULAR PHASE, COMPOSITION FOR THE TREATMENT AND PREVENTION OF HIV INFECTIONS,

ANTIBODIES AND METHOD OF DIAGNOSIS

Appl. No.:

09/827,345

Filing Date:

04/06/2001

Examiner:

M. G. Hill

Art Unit:

1648

# INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.56

Commissioner for Patents PO Box 1450 Alexandria, Virginia 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56. A copy of each listed document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

### **TIMING OF THE DISCLOSURE**

The listed documents are being submitted in compliance with 37 CFR §1.97(d), before payment of the issue fee.

#### RELEVANCE OF EACH DOCUMENT

All of the documents are in English.

Applicants respectfully request that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

#### **STATEMENT**

The undersigned hereby states in accordance with 37 CFR §1.97(e)(2) that no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of the information disclosure statement.

## FEE

A fee in connection with submission of an information disclosure statement under 37 CFR §1.97(d) in the amount of \$180.00 in accordance with 37 CFR §1.17(p) is attached.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

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Attorney for Applicant

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april 14, 2004

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Substitute for form	1449B/PTO		Complete if Known	
AC ANTODASATION D	ISCLOSURE	Application Number	09/827,345	
STATEMENT BY	APPLICANT	Filing Date	04/06/2001	
MAY 1.1 DOG. (E)		First Named Inventor	Jean-Claude CHERMANN	
		Group Art Unit	1648	<del></del>
( as many sheets	s as necessary)	Examiner Name	M. G. Hill	
THE PRADE MAN 1	of 2	Attorney Docket Number	065691-0216	<u> </u>

U.S. PATENT DOCUMENTS						
0.11	U.S. Patent Document			Date of Publication of	Pages, Columns, Lines,	
No.1	Number	Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appear	
	Cite No.1	Cite	Cite No.1 Number Kind Code2 (if	Cite No.1  U.S. Patent Document  Kind Code2 (if  Number  Name of Patentee or Applicant of Cited Document	Cite No.1 Number Code2 (if Number Code2 (if Name of Patentee or Applicant of Cited Document MM-DD-YYYY	

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		NON PATENT LITERATURE DOCUMENTS	
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	A1	Pierre CORBEAU et al.; An Early Postinfection Signal Mediated by Monoclonal Anti-ß2 Microglobulin Antibody is Responsible for Delayed Production of Human Immunodeficiency Virus Type 1 in Peripheral Blood Mononuclear Cells; Journal of Virology; Apr. 1990; pp. 1459-1464; Vol. 64, No. 4; American Society for Microbiology	
	A2	C. DEVAUX et al.; Anti-ß2-Microglobulin Monoclonal Antibodies Mediate A Delay in HIV1 Cytopathic Effect on MT4 Cells; 1990; 357-372; 141; Institiut Pasteur/Elsevier; Paris	
	A3	Larry O. ARTHUR et al.; Cellular Proteins Bound to Immunodeficiency Viruses: Implications for Pathogenesis and Vaccines; Science; Dec. 18, 1992; Vol. 258; pp. 1935-1938; The American Associate for the Advancement of Science	
	A4	P. GALEA et al.; Identification of a Biological Marker of Resistance to AIDS Progression; Cellular Pharmacology AIDS Sciences; 1996; pp. 311-316; 3, Stockton Press	
	A5	P. GALEA et al.; Identification of a New Virus-Associated Epitope inducing Antibody Responsible for the non Progression to AIDS; 12 <sup>th</sup> World AIDS Conference, Geneva, Switzerland; pp. 17-21; International Proceedings Division	
	A6	P. GALEA et al., A Novel Epitope R7V Common to all HIV-1 Isolates is Recognized by Neutralizing IgG found in HIV-infected Patients and Immunized Rabbits; Vaccine 17; 1999; pp. 1454-1461; Elsevier Science Ltd.	
	A7	P. GALEA et al., Rationale for a Vaccine Using Cellular-Derived Epitope Presented by HIV Isolates; Vaccine 17; 1999; pp. 1700-1705; Elsevier Science Ltd.	
	A8	Camille HASLIN et al.; Anti-R7V Antibodies as Therapeutics for HIV-infected Patients in Failure of HAART; Current Opinion in Biotechnology; 2002; Vol. 13; pp. 621-624; Elsevier Science Ltd	

Examiner	Date
Signature	Considered

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(   人 ) ( ) ( ) ( ) ( ) ( ) ( ) ( ) ( ) ( )	First Named Inventor	Jean-Claude CHERMANN
MAY, E	Group Art Unit	1648
(use as many sheets as necessary)	Examiner Name	M. G. Hill
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Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>€</sup>
	A9	Jean-Claude CHERMANN; Anti-R7V Antibodies may Protect Some HIV-infected Patients from Developing AIDS: A Conversation with Jean-Claude Chermann; AIDScience; October 11, 2002; 3 pp.; Vol. 20, No. 2; The American Associate for the Advancement of Science	

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